

66/40/88  
665 U.S. PTO

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. SON-1648/CON Total Pages 157

First Named Inventor or Application Identifier

Junji HORIKAWA, et al.

Express Mail Label No.

**APPLICATION ELEMENTS**

See MPEP Chapter 600 concerning utility patent application contents

**ADDRESS  
TO:**Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- |   |  |
|---|--|
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form<br><i>(Submit an original, and a duplicate for fee processing)</i>  | 6. <input type="checkbox"/> Microfiche Computer Program (Appendix)   |
| 2. <input checked="" type="checkbox"/> Specification<br><i>(preferred arrangement set forth below)</i>  | 7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission<br><i>(if applicable, all necessary)</i>                                      |
| - Descriptive title of the Invention  |  |
| - Cross References to Related Applications  |  |
| - Statement Regarding Fed sponsored R&D   |  |
| - Reference to Microfiche Appendix  |  |
| - Background of the Invention   |  |
| - Brief Summary of the Invention  |  |
| - Brief Description of the Drawings <i>(if filed)</i>   |  |
| - Detailed Description  |  |
| - Claim(s)  |  |
| - Abstract of the Disclosure  |  |
| 3. <input checked="" type="checkbox"/> Drawing(s) (35 USC d113)   | [Total Sheets] <b>15</b>   |
| 4. Oath or Declaration  | [Total Pages] <b>2</b>   |
| a. <input type="checkbox"/> Newly Executed (original or copy)   | 8. <input type="checkbox"/> Assignment Papers (cover sheet & Documents(s))   |
| b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br><i>(for continuation/divisional with Box 17 completed)</i>   | 9. <input type="checkbox"/> 37 CFR 3.27(B) Statement<br><i>(when there is an assignee)</i> <input type="checkbox"/> Power of Attorney                      |
| <i>[Note Box 5 below]</i>   |  |
| i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br>Signed statement attached deleting inventor(s)<br>named in the prior application,<br>see 37 CFR 1.63(2) and 1.33(b).  | 10. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>   |
| 5. <input type="checkbox"/> Incorporation By Reference <i>(useable if Box 4b is checked)</i><br>The entire disclosure of the prior application, from which a<br>copy of the oath or declaration is supplied under Box 4b,<br>is considered as being part of the disclosure of the<br>accompanying application and is hereby incorporated by<br>reference therein. | 11. <input type="checkbox"/> Information Disclosure<br>Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS<br>Citations                        |
|   | 12. <input checked="" type="checkbox"/> Preliminary Amendment  |
|   | 13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br><i>(Should be specifically itemized)</i>                                     |
|   | 14. <input type="checkbox"/> Small Entity<br>Statement(s) <input type="checkbox"/> Statement filed in prior application<br>Status still proper and desired |
|   | 15. <input type="checkbox"/> Certified Copy of Priority Document(s)<br><i>if foreign priority is claimed</i>   |
|   | 16. <input checked="" type="checkbox"/> Other: <b>15 Sheets of New Formal Drawings<br/>(Fig. 1 through Fig. 15); and<br/>Substitute Specification</b>      |

**17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information** Continuation Divisional Continuation-in-part (CIP)

of prior application No: 08/755,129

**18. CORRESPONDENCE ADDRESS**

|  |   |
|--|---|
| <input type="checkbox"/> Customer Number or Bar Code Label | or <input checked="" type="checkbox"/> Correspondence address below |
| NAME   | Ronald P. Kananen<br>Registration No. 24,104                        |

ADDRESS 1233 20<sup>th</sup> Street, Suite 501, N.W.,

|         |                          |       |                    |          |       |
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| CITY    | Washington               | STATE | DC                 | ZIP CODE | 20036 |
| COUNTRY | United States of America | PHONE | (202) 955-3750     |          |       |
|         |                          |       | FAX (202) 955-3751 |          |       |

JC598 U.S. PTO  
09/366549  
08/04/99

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Patent Application of )  
Junji HORIKAWA et al. ) Prior Art Unit: 2724  
Serial No. Not Yet Assigned )  
Filed: Herewith )  
For: COMPUTER ANIMATION )  
GENERATOR )

SUBMISSION OF FORMAL DRAWINGS AND  
LETTER TO THE OFFICIAL DRAFTSPERSON

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Attached please find a copy of the proposed changes to the drawing(s) in this application, for which the approval of the Examiner is requested. Specifically, Figure 2 has been amended to indicate numerals 1 through 9. A complete set of new formal drawings is also enclosed.

Respectfully submitted,

  
Ronald P. Kananen  
Registration No. 24,104

DATE: August 4, 1999

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#2  
Pre Amdt/a  
10-15-91

**REQUEST FORM FOR CONTINUATION/DIVISION APPLICATION  
UNDER 37 CFR 1.53(b)**

Docket No. SON-1648/CON  
File No. 80001-1648  
Prior Application: 08/755,129  
Prior Examiner A. DO  
Prior Group Art Unit 2724

PRO  
JC598 U.S. PRO  
09/366549  
09/04/99  


Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This is a request for filing a continuation application, under 37 CFR 1.53(b) of pending prior application Serial No 08/755,129 filed on November 25, 1996 of Junji HORIKAWA, et al. entitled COMPUTER ANIMATION GENERATOR.

1.  Enclosed is a true copy of prior application Serial No. 08/755,129 including the Declaration and Power of Attorney, as originally filed on November 25, 1996.
2.  An issue fee payment was submitted in the prior application on 07/27/99, a copy of which is enclosed.
- 3a.  The filing fee is calculated below:

| CLAIMS AS FILED IN THE PRIOR APPLICATION, PLUS OR MINUS ANY CLAIMS ADDED OR CANCELLED BY AMENDMENT BELOW |              |       |   |                     |                 |                                    |
|--|--------------|-------|---|---------------------|-----------------|------------------------------------|
|  | NUMBER FILED |       | (4)<br>HIGHEST NO.<br>PREVIOUSLY PAID FOR | (5)<br>NUMBER EXTRA | RATE            | BASIC<br>FILING FEE<br>\$760/\$380 |
| Total Claims   | *117         | Minus | **20                                      | = 97                | x \$9°<br>\$18  | 1,746.00                           |
| Indep. Claims  | *5           | Minus | ***3                                      | = 2                 | x \$39°<br>\$78 | 156.00                             |
| Fee for Multiple Dependent Claims  |              |       |   |                     | \$135°<br>\$270 |                                    |
| TOTAL ADDITIONAL FEE FOR THIS AMENDMENT  |              |       |   |                     |                 | 2,662.00                           |

- \* If the entry in Column 2 is less than the entry in Column 4, write "0" in Column 5.
- \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
- \*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

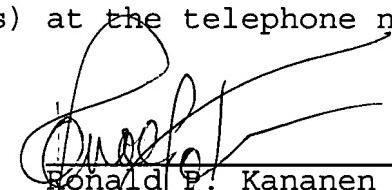
- 3b.  The present application is filed by a small entity (37 C.F.R. § 1.9(f)). A Verified Statement claiming small entity status was filed in the prior application.
- 3c.  Any prior general authorization to charge an issue fee under 37 C.F.R. 1.18 to Deposit Account No. 18-0013 is hereby revoked. The Commissioner is hereby authorized to charge any fees which may be required during the entire pendency of this application under 37 CFR 1.16 and 1.17, or to credit any overpayment, to Deposit Account No. 18-0013. A duplicate copy of this sheet is enclosed.
- 4a.  Charge \$ 2,662.00 to Deposit Account No. 18-0013. A duplicate copy of this sheet is enclosed.
5.  Cancel in this application original claims 2 to 19 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
6.  Amend the specification by inserting before the first line the sentence: --This application is a continuation of application Serial No 08/755,129 filed November 25, 1996, Patent No. 5963668.
- 7a.  Transfer the drawings from the prior application to this application and abandon said prior application as of the filing date accorded this application. A duplicate copy of this sheet is enclosed for filing in the prior application file.
- 7b.  New  formal  informal drawings are enclosed.
- 8a.  Priority of the following application(s) is claimed under 35 U.S.C. § 119:
- | <u>Country</u> | <u>Application No.</u> | <u>Filed (Mo., Day &amp; Yr.)</u> |
|----------------|------------------------|-----------------------------------|
| Japan          | P07-348403             | December 18, 1995                 |
- 8b.  The certified copy of the priority applications have been filed in prior U.S. Application Serial No. 08/755,129, filed November 25, 1996.
9.  The prior application is assigned of record to: Sony Corporation

10.  The power of attorney in the prior application is to:  
Richard Linn, Registration No. 25,144; Ronald P. Kananen,  
Registration No. 24,104, Michael D. Bednarek, Registration No.  
32,329, George T. Marcou, Registration No. 33,014, George C.  
Beck, Registration No. 38,072, Richard T. Peterson, Registration  
No. 35,320 and Jeffrey L. Thompson, Registration No. 37,025.
- 11a.  The power appears in the original papers in prior application  
Serial No. 08/755,129.
- 11b.  Since the power does not appear in the original papers, a copy of  
the power in prior application Serial No. \_\_\_\_\_ is  
enclosed.
- 11c.  Recognize as Associate Attorneys:  
Ralph T. Rader, Registration No. 28,772; Michael D. Fishman,  
Registration No. 31,952; Richard D. Grauer, Registration No.  
22,388; Michael B. Stewart, Registration No. 36,018; Steven L.  
Nichols, Registration No. 40,326, and David K. Benson, Reg. No.  
42,314.
- 11d.  Applicants' undersigned attorney may be reached by telephone in  
our Washington D.C. Office at  
  
(202) 955-3750
- 11e.  Address all future communications to:  
  
Ronald P. Kananen  
Rader, Fishman & Grauer P.L.L.C.  
1223 20<sup>th</sup> Street, N.W.  
Suite 501  
Washington, D.C. 20036
- 12a.  A preliminary amendment is enclosed. (Claims added by this  
amendment have been properly numbered consecutively beginning  
with the number next following the highest numbered original  
claim.)

12b.  The applicant(s) presently intend(s) to file additional papers in this case after receiving an official Filing Receipt. Should the Examiner take this case up for action before receiving such papers, it is respectfully requested that the Examiner contact the attorneys for the applicant(s) at the telephone number shown above.

Date: August 4, 1999

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